

526 Rec'd PCT/PTO

25 MAY 2000

FORM PTO-1390  
(REV 10-93)

DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P-9904 S

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/555233  
(not known)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.  
PCT/JP99/005290INTERNATIONAL FILING DATE  
September 28, 1999PRIORITY DATE CLAIMED  
September 28, 1998

## TITLE OF INVENTION

Network System

## APPLICANT(S) FOR DO/EO/US

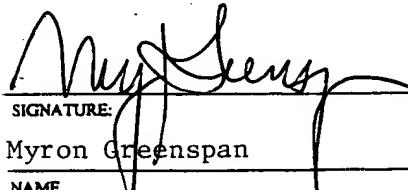
Akitoshi KOJIMA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98. -- Information Disclosure Statement, Information Disclosure Citation, and copies of 14 patent documents.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment.
  - A SECOND or SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:

U.S. APPLICATION NO. (if known) <b>09/555233</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP99/05290</b>		ATTORNEY'S DOCKET NUMBER <b>-9904 S</b>																									
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> \$840.00</p> <p>Search Report has been prepared by the EPO or JPO ..... <b>X880X0</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$680.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$750.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1010.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$94.00</p>				<b>CALCULATIONS PTO USE ONLY</b>																									
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$ 840.00</b>																									
<p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>				<b>\$ 130.00</b>																									
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>11 - 20 =</td> <td>0</td> <td>X \$22.00</td> <td>\$ 0</td> </tr> <tr> <td>Independent claims</td> <td>4 - 3 =</td> <td>1</td> <td>X \$78.00</td> <td>\$ 78.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$250.00</td> <td>\$ 0</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ 1,048.00</b></td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	11 - 20 =	0	X \$22.00	\$ 0	Independent claims	4 - 3 =	1	X \$78.00	\$ 78.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$250.00	\$ 0	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,048.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																										
Total claims	11 - 20 =	0	X \$22.00	\$ 0																									
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<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,048.00</b>																									
<p>Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</p>				<b>\$ N/A</b>																									
<b>SUBTOTAL =</b>				<b>\$ 1,048.00</b>																									
<p>Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>				<b>\$ 0</b>																									
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,048.00</b>																									
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>				<b>\$ 0</b>																									
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,048.00</b>																									
				<b>Amount to be: refunded</b>																									
				<b>charged</b>																									
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 1,048.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>10-0100</u>. A duplicate copy of this sheet is enclosed.</p>																													
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																													
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Myron Greenspan, Esq. Lackenspan Siegel One Chase Road Scarsdale, NY 10583 Telephone: 914 723 4300</p>																													
<p><b>SIGNATURE:</b> </p> <p>Myron Greenspan</p> <p><b>NAME</b></p> <p>25,680</p> <p><b>REGISTRATION NUMBER</b></p>																													

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

Sir: **TRANSMITTED HEREWITH FOR FILING IS A  
(PCT NATIONAL PHASE) U.S. PATENT  
APPLICATION FOR:**

**Inventor(s): Akitoshi KOJIMA  
Title: NETWORK SYSTEM  
Attorney Docket No.: P-9904 S**

**Enclosed are:**

- Patent application (specification, claims and Abstract)  
 3 sheet of drawings  
   FORMAL  INFORMAL  
 An Assignment of the Invention will follow.  
 A Declaration and Power of Attorney – unsigned; signed Declaration will follow.  
 A Verified Statement to establish small entity status under 37 CFR 1.9 and 34 CFR 1.27  
 Priority document: PCT/JP99/05290 filed September 28, 1999; Japanese Patent Application No. 10-274082, filed September 28, 1998.  
   Enclosed  Not enclosed.  
 Information Disclosure Statement, Citation and copies of 14 patent documents.

**The filing fee has been calculated as shown below: → on the attached.**

FOR	NO. FILED	NO. EXTRA
Basic Fee		
Total Claims	4	1
Indep Claims		
<input type="checkbox"/> Multiple Dependent Claim Presented		

SMALL ENTITY	
RATE	Fee
	\$
	\$
	\$
	\$
TOTAL	\$

LARGE ENTITY	
RATE	Fee
or	\$
TOTAL	\$

- Please charge my Deposit Account No. 10-0100 in the amount of \$  
A duplicate copy of this sheet is enclosed.  
 A check in the amount of \$1,048.00\* to cover the filing fee (\$840.00 – Search Report EPO or JP), late furnishing of signed Declaration (\$130.00) and one extra independent claim (\$78.00) is enclosed.  
\*Check does not include \$40.00 assignment recording fee.  
 The Commissioner is hereby authorized to charge payment of the fees associated with this communication and during the pendency of this application, such as an extension or issue fee if mistakenly not paid or insufficient, and/or any other fee due but not paid by check, etc., or credit any overpayment to Deposit Account No. 10-0100. A duplicate copy of this sheet is enclosed.

**Dated: May 25, 2000  
One Chase Road  
Scarsdale, NY 10583  
(914) 723-4300**

**LACKENBACH SIEGEL MARZULLO  
ARONSON & GREENSPAN, P.C.**

BY: 

**MYRON GREENSPAN  
Reg. No.: 25,680**

Enclosed for filing under  35 USC 111 or  35 USC 371